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ATTORNEY DOCKET NO. BOE01 003

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re the Application of Richard Frischholz

Serial No.: 09/889,600

Art Unit: Unassigned

Filed: July 19, 2001

Examiner: Unassigned

Title: A METHOD AND SYSTEM OF SECURING FORGERY IN BIOMETRICAL IDENTIFICATION OF PERSONS

TRANSMITTAL

The Honorable Commissioner of
Patents and Trademarks
Washington, D.C. 20231

Sir:

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Transmitted herewith is an Information Disclosure Statement, and copies of the documents cited therein in the above-identified application.

☒ No fee required:

☐ Filed within three months of filing application, or


☐ Certification made.

☒ Filed prior to first Office Action.

The Commissioner is hereby authorized to charge payment of any additional fees associated with this communication or credit any overpayment to Deposit Account No. 18-1835.

A duplicate of this sheet is enclosed.

Respectfully submitted,



L. Lawton Rogers, III

Reg. No. 24,302

D. Joseph English

Reg. No. 42,514

Mark C. Comtois

Reg. No. 46,285

Patrick D. McPherson

Reg. No. 46,255

1401 Eye Street, N.W., Suite 300

Washington, DC 20005

Telephone: (202) 898-1515

Telecopier: (202) 898-1521

Dated: November 14, 2001



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09/889600

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IDENTIFICATION OF PERSONS

TRANSMITTAL

The Honorable Commissioner of
Patents and Trademarks
Washington, D.C. 20231

Sir:

Transmitted herewith is a Notification of Missing Requirements Under 35 U.S.C.
371, a Combined Declaration and Power of Attorney, a Petition for Extension of Time,
and a check in the amount of \$240.00 for the Notice (\$130) and the Petition (\$110) for
the above-identified Application.

If a Petition for an Extension of Time is necessary for the paper transmitted
herewith to be timely filed, this transmittal is to be considered as a petition to extend the
response period by the amount of time needed for the paper to be timely filed.

The Commissioner is hereby authorized to charge payment of any additional fees
associated with this communication or credit any overpayment to Deposit Account 18-

11/15/2001 HNGUYEN 00000044 09889600

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01 FC:1154
02 FC:115

130.00 DP
110.00 DP

A duplicate of this sheet is enclosed.

Respectfully submitted,



L. Lawton Rogers, III

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UNITED STATES PATENT AND TRADEMARK OFFICE

AUG 20 2001

Commissioner for Patents,
United States Patent and Trademark
Washington, D.C.

U.S. APPLICATION NO.

09/889600

FIRST NAMED APPLICANT

FRISCHHOLZ

ATTY. DOCKET NO.

R

BOE01 003

INTERNATIONAL APPLICATION NO.

PCT/EP00/00367

I.A. FILING DATE

18 JAN 00

PRIORITY DATE

19 JAN 99

DATE MAILED: 16 AUG 2001

NOTIFICATION OF MISSING REQUIREMENTS UNDER 35 U.S.C. 371 IN THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US)

1. The following items have been submitted by the applicant or the IB to the United States Patent and Trademark Office as ☐ a Designated Office (37 CFR 1.494) ☒ an Elected Office (37 CFR 1.495):
- ☒ U.S. Basic National Fee.
 - ☒ Copy of the international application.
 - ☐ Oath or Declaration of inventor(s).
 - ☐ Copy of Article 19 amendments.
 - ☒ Priority Document.
 - ☒ The International Preliminary Examination Report in English and its Annexes, if any.
 - ☒ Translation of Annexes to the International Preliminary Examination Report into English.
 - ☐ Indication of Small Entity Status.
 - ☒ Translation of the international application into English.
 - ☐ Translation of Article 19 amendments into English.
 - ☐ Other:
2. ☐ Applicant has requested early processing under 35 U.S.C. 371(f) but has not filed the following indicated items and/or the indicated items in paragraph 3 below. The Basic National Fee and the copy of the international application must be filed prior to 20 or 30 months from the priority date to avoid abandonment.
- ☐ U.S. Basic National Fee.
 - ☐ Copy of the international application.
3. The following items **MUST** be furnished within the period set forth below in order to complete the requirements for acceptance under 35 U.S.C. 371:
- ☐ a. Translation of the application into English. A processing fee will be required if submitted later than the appropriate 20 or 30 months from the priority date.
 - ☐ The current translation is defective for the reasons indicated on the attached Notice of Defective Translation.
 - ☐ b. Processing fee for providing the translation of the application and/or the Annexes later than the appropriate 20 or 30 months from the priority date (37 CFR 1.492(f)).
 - ☒ c. Oath or declaration of the inventors, in compliance with 37 CFR 1.497(a) and (b), properly identifying the application (preferably by the International application number and international filing date). A surcharge will be required if submitted later than the appropriate 20 or 30 months from the priority date.
 - ☐ The current oath or declaration does not comply with 37 CFR 1.497(a) and (b) for the reasons indicated on the attached PCT/DO/EO/917.
 - ☒ d. Surcharge for providing the oath or declaration later than the appropriate 20 or 30 months from the priority date (37 CFR 1.492(e)).
4. Additional claim fees of \$_____ as a ☐ large entity ☐ small entity, including any required multiple dependent claim fee, are required. Applicant must submit the additional claim fees or cancel the additional claims for which fees are due (37 CFR 1.492(g)). See attached PTO-875.
5. ☐ Applicant has not submitted the required sequence listing pursuant to 37 CFR 1.821-1.825. See attached PCT/DO/EO/920.

ALL OF THE ITEMS SET FORTH IN 3(a)-3(d), 4 AND 5 ABOVE MUST BE SUBMITTED WITHIN TWO (2) MONTHS FROM THE DATE OF THIS NOTICE OR BY 22 OR 32 MONTHS (where 37 CFR 1.495 applies) FROM THE PRIORITY DATE FOR THE APPLICATION, WHICHEVER IS LATER. FAILURE TO PROPERLY RESPOND WILL RESULT IN ABANDONMENT.

The time period set above may be extended by filing a petition and fee for extension of time under the provisions of 37 CFR 1.136(a).

6. If box 3a or 3c is checked, a translation of the Annexes **MUST** be submitted no later than the time period set above or the Annexes will be cancelled. A processing fee will be required if submitted later than 20 or 30 months from the priority date.
7. ☐ The Article 19 amendments are cancelled since a translation was not provided by the appropriate 20 (37 CFR 1.494(d)) or 30 (37 CFR 1.495(d)) months from the priority date.

Applicant is reminded that any communication to the United States Patent and Trademark Office must be mailed to the address given in the heading and include the U.S. application no. shown above. (37 CFR 1.5)

A copy of this notice MUST be returned with this response.

Enclosed: ☐ PCT/DO/EO/917
☐ PTO-875

☐ Notice of Defective Translation
☐ PCT/DO/EO/920

FORM PCT/DO/EO/905 (March 2001)

Winston M Alvarado
Telephone: 703-305-6421